

DAA DUTIES

- Ensure that IA is incorporated as an element of DoD information system life-cycle management processes.
- Grant DoD information systems under his or her purview formal accreditation to operate according to the DoD IA certification and accreditation process.
- Accredite every information system before initial operation.
- Balance operational mission requirements and the residual risk of system operation.
- Ensure that IA-related events or configuration changes that may impact accreditation are reported to affected parties, such as Information Owners and DAAs of interconnected DoD information systems.
- For DoD information systems or enclaves under his or her purview, ensure that all IA-related positions are assigned in writing, include a statement of IA responsibilities, and that appointees to positions receive appropriate IA training.
- Ensure that all Information Assurance Managers (IAMs) and Information Assurance Officers (IAOs), in addition to meeting all access requirements, are US citizens.

Designated Approving Authorities have a great deal of responsibility and authority over DoD information systems. They must have a degree of independence and objectivity that allows them to fulfill this role. Further, DAAs may not also serve as certifying authorities for systems they accredit since that would constitute a conflict of interests.

USMC DAA's

IN SUPPORT OF DODI 5200.40, THE DEPARTMENT OF DEFENSE INFORMATION TECHNOLOGY CERTIFICATION AND ACCREDITATION PROCESS (DITSCAP):

MARCORSYSCOM Commander "Executes the duties as the DAA for all systems or applications acquired or developed under MARCORSYSCOM or as requested for other systems, and for applications or systems for which MARCORSYSCOM is the functional sponsor or advocate. MARCORSYSCOM Commander has overall acquisition management responsibilities for the Marine Corps and provides Approval to Operate (ATO).

Marine Corps CIO executes the duties as the DAA for the Marine Corps Enterprise Network (MCEN) which grants the Approval to Connect (ATC) for systems and applications to connect to the MCEN infrastructure.

USMC Designated Approving Authority - DAA

DAA DEFINED

The Designated Approving Authority is the official with the authority to formally assume responsibility for operating a system at an acceptable level of risk. The DAA will formally accredit a system, or deny authority to operate a system that does not meet certification requirements.

Source: DoD Instruction 8500.1

REFERENCES

DoD Instruction 5200.40

DoD Directive 8500.1

DoD Instruction 8500.2

ASD NII Memo dated July 15, 2003

DISA Training CBT for DAA's

<http://iase.disa.mil/ETA>

MOA between USMC CIO, CG,

MCCDC and CG, MCSC - CIO

Roles and Responsibilities, dated

October 4, 2002

THE DAA TEAM

Certification Authority (CA) - conducts certification activities in support of the accreditation process and provides recommendations for accreditation to the DAA.

Information Assurance Manager (IAM) - ensures that an organization's information systems are developed with an acceptable level of risk and are accredited.

Information Assurance Officer (IAO) - responsible for maintaining the approved security level based on the accreditation. This involves ensuring that all system users and operations personnel have met the appropriate security requirements.

Program Manager - manage the overall procurement, development and operation of information systems.

User Representative - the DAA Team's liaison with field operations. The User Representative is responsible for ensuring that the DAA Team understands how the system will be used to meet mission requirements.

Source: DISA CBT. DAA - Designated Approving Authority

REQUIREMENTS

- A DAA shall be appointed for each DoD system operating within or on behalf of the Department of Defense.
- The Commandant of the Marine Corps ensures that DAAs are identified and security services provided for Marine Corps information systems.
- The DAA shall be a US citizen, a DoD employee, and have a level of authority commensurate with accepting, in writing, the risk of operating DoD information systems under his or her purview.
- All DAAs should minimally complete the DoD DAA computer-based training (CBT) available from DISA.

Source: DoD Instruction 8500.1 and ASD NII Memo dated July 15, 2003